

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hansulrich REISACHER, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/10151

GAU:

FILED: Herewith

EXAMINER:

FOR: SOLID PIGMENT PREPARATIONS COMPRISING SURFACE ACTIVE ADDITIVES BASED ON  
ALKOXYLATED BISPHENOLS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO_1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>266595US0PCT</b>		SERIAL NO. <b>1526437</b> New U.S. PCT Application Based on PCT/EP03/10151	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Hansulrich REISACHER, et al.</b>			
FILING DATE Herewith				GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	4,056,402	11/01/77	GUZI, Jr., John			
	AB	4,127,422	11/28/78	GUZI, Jr., John			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AJ	199 05 269	08/10/00	DE(Equivalent of US 6,596,073)			NO
	AK	0 084 645	08/03/83	EP(Equivalent of US 4,464,203)			NO
	AL	0 403 917	12/27/90	EP(Equivalent of US 5,082,498)			NO
	AM	1 081 195	03/07/01	EP(Equivalent of US 6,485,558)			NO
	AN	102 04 304	08/14/03	DE(with English abstract)			NO
	AO	102 04 583	08/07/03	DE(with English abstract)			NO
	AP	102 27 657	01/08/04	DE(with English abstract)			NO
	AQ	102 28 199	01/22/04	DE(with English abstract)			NO
	AR	0 013 576	07/23/80	EP(Equivalent of US 4,420,310)			NO
	AS	1 103 173	05/30/01	EP(Equivalent of US 6,646,023)			NO
	AT	02 068504	09/06/02	WO(with English abstract and Equivalent of US 2004/0116552)			NO
	AU	27 30 233	01/25/79	DE(Equivalent of US 4,108,798)			NO
	AV	0 655 527	05/31/95	EP(Equivalent of US 5,782,965)			NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Docket No.: 266595US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AR-AV have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AB and AJ-AQ are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.